1. Welcome and Introductions
   a. Welcome to Local Officials
   b. Introduction of Consortium Members

2. Public Comment

3. For Action
   a. Approval of Meeting Minutes December 17, 2013 (attachment)
   b. Election of 2014 NEOSCC Officers and Executive Committee (attachment)
   c. RESOLUTION NO. 2014-01 Regarding the Endorsement of Vibrant NEO 2040 Vision, Framework and Action Products (attachment)

4. For Discussion
   a. Conflict of Interest Statement (Barcelona)
   b. Current Budget/Staffing (Morrison)
   c. Executive Committee Update on NEOSCC 2.0 (Gallucci)
      i. Board Member Organizations - Priority Objectives
   d. Outreach/Communications Update (Anderle)

5. For Information
   a. Standing Committee Reports
      i. Technical Steering Committee (no business conducted)
      ii. Finance Committee Report (no business conducted)
      iii. Communications and Engagement Committee Report (no business conducted)
      iv. Nominating Committee Report (no business conducted)
      v. Personnel Committee Report (no business conducted)
   b. Organization
      i. Progress and Status of Products (no update)
      ii. HUD Grant Extension (Morrison)
      iii. Month End Financial Report (attachment)
      iv. Open and Pending Contract Update (attachment)
      v. Leveraged Match Report (attachment)

6. Old Business

7. New Business

Adjourn

NEXT MEETING: Tuesday, March 25, 2014 - NOACA
1299 Superior Avenue, Cleveland, Ohio 44114
Attendance: Jason Segedy, Fred Wright, Emily Campbell, Brad Whitehead, Gene Nixon, David Beach, Lindy Burt, Grace Gallucci, Bob Nau, John Getchey, Bill D’Avignon, Freddy Collier, Jeff Dutton, Mike Lyons, Mike Challender, Mark Moore, Erin Gurum, Angie Byington, Anna DeAscentis, Valerie Shea, Ron Chordas
Alternate Board Members: Rachel McCartney, Steve Hambley, Nancy Cook, Bethia Burke
Staff/Other: Lucy Miller, Hunter Morrison, Emma Petrie Barcelona, Sara Maier, Mike Nichols, Jeff Anderle, Anthony Kobak, Kelley Britt, Joe MacDonald

The meeting started at 1:42 p.m. by the chair Grace Gallucci. Welcome and introductions were made.

There was a motion to approve the Board Meeting Minutes for November 19, 2013 by Mr. Challender, seconded by Mr. Whitehead. Motion approved.

Ms. Gallucci started the meeting off by congratulating everyone for all the work they have done to get NEOSCC to the point they are currently at. Ms. Gallucci also thanked the public for all feedback that they have given as it has been extremely critical to the process.

There was a motion to open up discussion on the release of the Vibrant NEO Vision, Framework, and Products by Mr. Challender, seconded by Mr. Getchey. Motion approved.

Mr. Morrison started the discussion by mentioning that there would be a three-part presentation. The first part would be Mr. Anderle going over the past work NEOSCC has done, as well as the culmination of the whole process. Part two would consist of Ms. Maier speaking about the Action Products, and part three would consist of Mr. Anderle speaking about the next steps and the future.

Mr. Anderle started off the first part of the presentation by playing a video from the Vision Sessions. Mr. Anderle continued the presentation by mentioning that in total 5,600 people were engaged throughout the process. This number included the meeting attendance, ImagineMyNEO, and public opinion surveys.

Mr. Morrison came back to the podium and spoke about the NEOSCC Vision. Mr. Morrison mentioned that the work NEOSCC did was groundbreaking for Northeastern Ohio.

Mr. Morrison spoke about the NEOSCC Vision, which includes the:

- **8 Objectives**: The outcomes the Vision aims to achieve
- **13 Indicators**: Regional performance metrics that will tell us if we are on track to attain the Vision
- **4 Themes**: Four key Vision Topic Areas
- **9 Recommendations**: Steps and tools for realizing the Vision, which include **41 Initiatives**
- **22 Development Strategies**: Local resources for creating great places consistent with the Vision Objectives
- **20 Everyday Actions**: Steps and actions that the public can take in supporting the Vision

Mr. Morrison mentioned that all of the Vision narrative portions would be complete and available by the end of 2013. The graphic layout will be available by January 20, 2014.

Ms. Gallucci recognized Kelley Britt and Joe MacDonald for devoting their time to finishing up their work for NEOSCC.
Ms. Maier gave a walkthrough on the Action Products. The Action Products were developed to encourage, equip, and support Northeast Ohioans to learn, share, create, and act together to build a more vibrant future. There are four main action products, which include:

- Dashboard
- Tool Kit & Best Practices
- Policy Framework
- Pilot Projects

Ms. Maier mentioned that the Action Products are aligned with the final Vibrant NEO 2040 Vision themes, recommendations & initiatives.

Mr. Anderle spoke about the next steps. Mr. Anderle went over the current timeline as well as the presentation schedule.

Ms. Gallucci requested from the board an approval to release the Vibrant NEO Vision, Framework and Products. Ms. Gallucci asked the Board if they were all in favor to say I, everyone said I. She then asked if anyone was opposed, no one was opposed. The motion carried.

Ms. Gallucci requested from the Board that the resolution be adopted that has the Board acknowledging that NEOSCC will continue past the HUD phase. When the HUD grant expires, NEOSCC will continue to serve as a non-profit that was formed to create a collaborative community of practice to establish a vibrant, resilient, and sustainable Northeast Ohio. There was a motion to adopt the resolution by Mr. Nixon, seconded by Mr. Segedy. Motion approved.

Mr. Morrison mentioned that a separate green sheet for the watch list wasn’t published this month because NEOSCC is focused on completing the documentation for publication, and preparing for the round of meetings with Consortium member Boards in December, January, and February.

Ms. Gallucci mentioned that on January 14th the Executive Committee would meet for a workshop related to the future of NEOSCC. The Executive Committee will continue to meet on the second Tuesday of every month for the first six months of the year. Ms. Gallucci also mentioned that July 1st would be the goal date for seating a new Board for NEOSCC 2.0.

Ms. Gallucci commented that in February NEOSCC would ask the Board Members to adopt the Vision. Ms. Gallucci pointed out that the Board Members must ensure that they have all their concerns regarding the Vision resolved so that in February Board Members can vote with confidence that their respective organizations will support the Vision. Ms. McCartney asked whether a super majority or a simple majority was needed to approve the Vision. Mr. Morrison mentioned that a super majority or a 2/3 vote was needed to pass the document.

Ms. Gallucci mentioned that NEOSCC received a no-cost extension from HUD that would take them through the end of June. There is no anticipated change in the work plan given the additional time. The HUD grant ends June 30th and the next phase of NEOSCC’s work would begin July 1st.

Ms. Gallucci spoke about her previous positive conversations with The Fund for Our Economic Future. Ms. Gallucci commented on the timeline NEOSCC put forward on how they would like to spend the Fund’s cash match commitment. NEOSCC created a menu of items for which the organization needed funding. The list was broken down between NEOSCC 1.0, the immediate needs to complete the Vision, Framework, and Products, and NEOSCC 2.0, which would be the implementation of those Vision, Framework, and Products. Ms. Gallucci announced that the Fund For Our Economic Future has granted nearly NEOSCC’s entire request for NEOSCC 1.0, which totals $30,575. Ms. Gallucci thanked the Fund for their continued support.
Ms. Gallucci mentioned that the Annual Board Meeting is scheduled for January 28, 2014. During the meeting the Board will elect the officers.

Mr. Dutton gave a report on behalf of the Nominating Committee. Mr. Dutton mentioned that the Nominating Committee recommends that the existing officers stay the same going into next year for a period not to exceed six months. Since NEOSCC is in a transition period, the Nominating Committee felt it made sense to keep familiarity. Considering that NOACA is the fiscal agent, it also made sense that Ms. Gallucci stay as Chair of the Board.

Mr. Hambley spoke about a conference call the Finance Committee had to discuss the financials and the Cash Position. The Finance Committee recommended a budget for 2014 for review and discussion. Ms. Petrie Barcelona mentioned that the proposed budget would be based off of the $300,000 remaining funds. The Finance Committee expects to bring forward a formal budget for adoption during the January meeting.

Mr. Morrison mentioned that the Board is very close to meeting the full leveraged match requirements and encouraged Board Members to fill out their forms so that they could present the documents to HUD.

Mr. Wright mentioned that he believes that this Board Meeting is the last one being hosted at the Akron Urban League. Mr. Wright thanked NEOSCC for holding their meetings at the Akron Urban League.

There was a motion to adjourn by Mr. Collier, seconded by Mr. Lyons. Motion was approved and the meeting was adjourned at 3:21 p.m.

NEOSCC Annual Board Meeting: Tuesday, January 28, 2014
Memo

To:     NEOSCC Board of Directors
From:   Jeff Dutton, Nominating Committee Chair
CC:     
Date:   1/22/14
Re:     NEOSCC 2014 Officers and Executive Committee

As we discussed at the December 2013 Board meeting, it is standard that the election of officers takes place at our annual meeting in January.

The Nominating Committee has discussed the slate of Officers and Executive Committee and proposed the following:

- Based on the extension of the HUD-funded Vibrant NEO 2040 project spanning into the first quarter and second quarter of 2014, we would propose retaining the current slate of Officers and Executive through June 2014. This will allow for continuity in the Board leadership as the project comes to completion and close-out of the HUD funding is finished.
- The Nominating Committee would reconvene in May to propose a new slate officers and executive committee members to begin serving on July 1, 2014. This slate of officers would be presented to the entire board at the June 2014 Board meeting. At this time, the nominating committee would propose if the term would be six months through the end of 2014 or a different alternative.

The following represents the 2013 officers and executive committee that would continue in their current positions through June 2014:

**NEOSCC Officers**

Chair/NOACA: Grace Gallucci
First Vice-Chair/SCATS: Bob Nau
Second Vice-Chair/AMATS: Jason Segedy
Third Vice-Chair/Eastgate alternate: Rachel McCartney
Treasurer/NOACA alternate: Steve Hambley
Secretary: Fred Wright
Executive Committee (in addition to officers, when applicable)

AMATS Director: Jason Segedy (Curtis Baker)
Eastgate Director: John Getchey (Rachel McCartney)
NOACA Director: Grace Gallucci (Steve Hambley)
SCATS Director: Bob Nau
NEFCO Director: Joe Hadley (Connie Krauss)
FFEF Director: Brad Whitehead (John Mitterholzer) (Bethia Burke)
RPI Director: Mike Lyons (Bill Currin)
AMATS Region At-large: Gene Nixon (Donna Skoda)
Eastgate Region At-large: Bill D’Avignon (Alternate TBD)
NOACA Region At-large: Freddie Collier (Alternate TBD)
SCATS Region At-large: Jeff Dutton (Alternate TBD)
CONFLICT OF INTEREST POLICY
OF THE
NORTHEAST OHIO SUSTAINABLE COMMUNITIES CONSORTIUM, INCORPORATED

Article I - Purpose

The purpose of the conflict of interest policy is to protect Northeast Ohio Sustainable Communities Consortium, Incorporated’s (the “Consortium”) interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer, director, or employee of the Consortium or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit and charitable organizations. It is the policy of the Consortium that Directors, Officers, and employees should take great care to avoid actual conflicts of interest or even the appearance of a conflict.

Article II - Definitions

1. Interested Person
Any director, principal officer, member of a committee with governing board delegated powers, or employee who has a direct or indirect financial interest, as defined below, is an interested person.

2. Financial Interest
A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:
   a. An ownership or investment interest in any entity with which the Consortium has a transaction or arrangement,
   b. A compensation arrangement with the Consortium or with any entity or individual with which the Consortium has a transaction or arrangement, or
   c. A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Consortium is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are not insubstantial.

A financial interest is not necessarily a conflict of interest. Under Article III, Section 2, a person who has a financial interest may have a conflict of interest only if the appropriate governing board or committee decides after a full disclosure by the individual that a conflict of interest exists.

Article III - Procedures

1. Duty to Disclose
In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the directors and members of committees with governing board delegated powers considering the proposed transaction or arrangement.

2. Determining Whether a Conflict of Interest Exists
After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the governing board of committee meeting while the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists.

3. Procedures for Addressing the Conflict of Interest
a. The chairperson of the governing board or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

b. After exercising due diligence, the governing board or committee shall determine whether the Consortium can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest. If a more advantageous transaction or agreement is not reasonably possible under circumstances not producing a conflict of interest, the governing board or committee shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Consortium’s best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.

4. **Degree of Participation**

If it is determined that a conflict of interest exists, the affected Board or Committee of the Board shall determine the degree of participation a member may have, based on the degree and extent of the conflict. The Board can decide:

a. to allow a member to stay in the Board or Committee room and speak to a motion, but not vote where the conflict is slight and indirect; or
b. to allow a member to stay in the Committee or Board room, but not speak to a motion or a vote upon that motion where the conflict is slight to moderate and indirect; or

c. to leave the Board or Committee room, be precluded from speaking to a motion or voting upon it where the conflict is serious and direct; or

d. where a conflict is not situational but is serious, direct and pervasive and will continue over a long period of time, the Board or Committee can ask for a resignation for the Committee and/or the Board.

5. **Violations of the Conflicts of Interest Policy**

a. If the governing board or committee has reasonable cause to believe a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

b. If, after hearing the member’s response and after making further investigation as warranted by the circumstances, the governing board of committee determines the member has failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action up to and including expulsion from the Committee and/or the Board.

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**Article IV - Records of Proceedings**

The minutes of the governing board and all committees with board delegated powers shall contain:

a. The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the governing board’s or committee’s decision as to whether a conflict of interest in fact existed.

b. The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection with the proceedings.

**Article V - Compensation**

a. A voting member of the governing board who receives compensation, directly or indirectly, from the Consortium for services is precluded from voting on matters pertaining to that member’s compensation. This Section includes prohibit a member voting on any contract between the Consortium and the entity that member represents or entity by whom the member is employed.

b. A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Consortium for services is precluded from voting on matters pertaining to that member’s compensation. This Section includes prohibit a member voting on
any contract between the Consortium and the entity that member represents or entity by whom the
member is employed.

c. No voting member of the governing board or any committee whose jurisdiction includes compensation
matters and who receives compensation, directly or indirectly, from the Consortium, either individually
or collectively, is prohibited from providing information to any committee regarding compensation
provided a full disclosure of a conflict of interest is made to that committee.

Article VI - Annual Statements

Each director, principal officer and member of a committee with governing board delegated powers shall
annually sign a statement which affirms such person:

a. Has received a copy of the conflicts of interest policy,
b. Has read and understands the policy,
c. Has agreed to comply with the policy, and
d. Understands the Consortium is charitable and in order to maintain its federal tax exemption it must
   engage primarily in activities which accomplish one of more of its tax exempt purposes.

Article VII - Periodic Reviews

To ensure the Consortium operates in a manner consistent with charitable purposes and does not engage in
activities that could jeopardize its tax exempt status, periodic reviews shall be conducted. The periodic reviews
shall, at a minimum, include the following subjects:

a. Whether compensation arrangements and benefits are reasonable, based on competent survey
   information and the result of arm’s length bargaining.
b. Whether partnerships, joint ventures, and arrangements with management organizations conform to the
   Consortium’s written policies, are properly recorded, reflect reasonable investment or payments for
   goods and services, further charitable purposes and do not result in inurement, impermissible private
   benefit or in an excess benefit transaction.

Article VIII - Use of Outside Experts

When conducting the periodic reviews as provided for in Article VII, the Consortium may, but need not, use
outside advisors. If outside experts are used, their use shall not relieve the governing board of its responsibility
for ensuring periodic reviews are conducted.
I do hereby acknowledge that I have received and read the Code of Conduct, including the imbedded Conflict of Interest Policy, of the Northeast Ohio Sustainable Communities Consortium, Incorporated (hereinafter known as the “Code”).

Furthermore, I do hereby acknowledge that I understand the Code as written and agree to comply with all regulations set forth in the Code.

Furthermore, I understand that Northeast Ohio Sustainable Communities Consortium, Incorporated is a tax-exempt 501(c)(3) non-profit charity, and in order to maintain its federal tax exemption it must engage primarily in activities that accomplish one of more of its tax-exempt purposes.

Name_________________________________ Organization_____________________________________  

Signed_________________________________________ Date________________
RESOLUTION NO. 2014-01

(Regarding the Adoption of the Vibrant NEO 2040 Vision, Framework and Action Products)

RESOLUTION OF THE BOARD OF DIRECTORS OF THE
NORTHEAST OHIO SUSTAINABLE COMMUNITIES CONSORTIUM, INC.

WHEREAS, the Northeast Ohio Sustainable Communities Consortium, Inc. (NEOSCC) is an Ohio non-profit corporation established to develop and maintain a regional visioning and decision-making framework for a 12-county region in Northeast Ohio that includes the counties of Ashtabula, Cuyahoga, Geauga, Lake, Lorain, Mahoning, Medina, Portage, Stark, Summit, Trumbull and Wayne, and

WHEREAS, NEOSCC’s Board seeks to engage and support a community of practice among planners, governmental officials, non-profit professionals and citizens who together aspire to create a more vibrant, resilient and sustainable Northeast Ohio region; and

WHEREAS, the Partnership for Sustainable Communities, a collaboration of the U.S. Department of Housing and Urban Development (HUD), the U.S. Department of Transportation (DOT), and the U.S. Environmental Protection Agency (EPA), established a Sustainable Communities Regional Planning Grant Program; and

WHEREAS, the Northeast Ohio Areawide Coordinating Agency (NOACA), acting as fiscal agent and lead applicant for NEOSCC, was awarded $4.25 million in funding from HUD for the Sustainable Communities Regional Planning Grant Program to develop the regional vision; and

WHEREAS, the NEOSCC’s Board of Directors have contributed $XXXX in leveraged match to the HUD grant award through in-kind staff services and non-personnel expenditures and financial contributions of $247,000 from the Fund for Our Economic Future and $10,000 from the City of Elyria; and

WHEREAS, the NEOSCC Board of Directors, the Project Management Office, consultants, volunteer experts and members of the public have collaborated over the three-year period of the HUD grant award to create the Vibrant NEO 2040 Vision, Framework and Action Products; and

WHEREAS, the NEOSCC Board of Directors, Project Management Office and consultants prepared and adopted a Communications and Engagement Plan and subsequently undertook an extensive public participation and engagement program to develop the objectives, themes, recommendations and initiatives contained in the Vibrant NEO 2040 Vision, Framework and Action Products,

NOW, THEREFORE, BE IT RESOLVED that NEOSCC’s Board of Directors accepts and adopts the Vibrant NEO 2040 Vision, Framework and Action Products as fulfilling the local objectives of the HUD grant award and further endorses for consideration by the region:
Section 1: The following eight Vision Objectives that identify the outcomes that the Vision aims to achieve:

- Promoting investment in Northeast Ohio’s established communities;
- Protecting our soil, water, air, and ecologically sensitive areas;
- Improving our regional fiscal health;
- Developing our regional economy with accessible employment opportunities;
- Enhancing our regional transportation network;
- Cultivating and celebrate our local assets and places of public value;
- Expanding our parks and open-space network; and
- Preserving and valuing our prime farmland as a regional economic asset.

Section 2: The following nine Recommendations that identify actions to realize the Vision:

- Focus new residential and commercial development on sites within established communities;
- Develop a robust network of regional job centers connected by multi-modal transportation corridors within and between counties;
- Encourage a higher frequency of mixed-use development and a range of diverse, affordable housing options;
- Enhance and coordinate the region’s rail and bus systems;
- Enhance walking and cycling as transportation options to increase regional mobility and public health;
- Preserve our natural areas for future generations, provide outdoor recreation opportunities, and develop a regional approach to protecting air, water and soil quality;
- Support sustainable agriculture and local food systems in Northeast Ohio; and
- Increase collaboration among the region’s government agencies to expand information-sharing and find more cost-effective means of providing essential services.

Section 3: Forty-one implementable Initiatives described by the Vision and Framework with the understanding that:

- One size does not fit all: Individual Initiatives may not be applicable in all parts of the 12-county region; and that
- The decision to implement any individual Initiative or group of Initiatives will be made at the local level based on the choices of residents and their elected or appointed representatives.

Section 4: Twenty-two Development Strategies described by the Vision and Framework with the intention of identifying and documenting local resources and best practices for implementing the Vision and Framework at the local level, and

Section 5: Four prototypical Action Products that will be further developed in subsequent phases of the Consortium’s work, including:

- A Dashboard that visually communicates a set of regional indicators and metrics against which progress toward the Vibrant NEO2040 vision will be measured;
- Policy Recommendations that provide a framework for analyzing the effects existing policies have on the region and to identify changes that may be needed to create desired change;
- A Tool Kit and Best Practices that provide implementation tools and techniques to realize the Vision at the local level; and
- Pilots to identify emerging best practices that show promise in moving the region toward the preferred Vision.
AND FURTHER RESOLVES to make the Vibrant NEO 2040 objectives, recommendations, initiatives development strategies and action products available for use by metropolitan and local decision makers at their option and to advocate for the use of Vibrant NEO 2040 to create a more vibrant, resilient and sustainable Northeast Ohio.

Certified to be a true copy of a Resolution of the Board of Directors of NEOSCC adopted this 25th day of February 2014.

Secretary/Chair: ________________________
Date Signed: _________________________
### CASH POSITION AS OF JANUARY 31, 2014

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**RECEIPTED FUNDS:**

- DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) $ 4,250,000.00
- FUND FOR OUR ECONOMIC FUTURE (FFOEF) 216,667.00
- CITY OF ELYRIA 10,000.00

**TOTAL** $ 4,476,667.00

**ADDITIONAL CASH RESOURCES:**

- NOACA LINE OF CREDIT $ 0
### NORTHEAST OHIO SUSTAINABLE COMMUNITIES CONSORTIUM

**JANUARY 2014 - FINANCIAL INFORMATION**

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<th>TOTAL PROGRAM</th>
<th>TOTAL THRU 01/31/14</th>
<th>EXPENDED TO DATE</th>
<th>TARGET</th>
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<th>PERCENTAGE OF TARGET</th>
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<td>(B)</td>
<td>(A-B)</td>
<td>(A/36)*36 mo.</td>
<td>(B/C)</td>
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<td>Office Supplies 19,980</td>
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<td>#DIV/0!</td>
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<tr>
<td>Office Equipment 1,000</td>
<td>1,000</td>
<td>1,000</td>
<td>1,000</td>
<td>1,000</td>
<td>0%</td>
<td>0%</td>
</tr>
<tr>
<td><strong>CELLPHONES/SIT TELECOMM</strong></td>
<td>30,920</td>
<td>30,920</td>
<td>34,225</td>
<td>(3,305)</td>
<td>30,920</td>
<td>111%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>$90,000</td>
<td>520</td>
<td>$90,520</td>
<td>$101,504</td>
<td>(10,984)</td>
<td>$90,520</td>
</tr>
<tr>
<td><strong>CONSULTANTS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Economic Base Analysis $25,000</td>
<td>$25,000</td>
<td>$31,750</td>
<td>(6,750)</td>
<td>$25,000</td>
<td>127%</td>
<td>127%</td>
</tr>
<tr>
<td>Built &amp; Natural Environ 2,250</td>
<td>2,250</td>
<td>2,250</td>
<td>2,250</td>
<td>2,250</td>
<td>0%</td>
<td>0%</td>
</tr>
<tr>
<td>Community 200,000</td>
<td>385</td>
<td>200,385</td>
<td>169,975</td>
<td>30,410</td>
<td>200,385</td>
<td>85%</td>
</tr>
<tr>
<td>Transportation &amp; IT Connections 2,250</td>
<td>2,250</td>
<td>2,250</td>
<td>2,250</td>
<td>2,250</td>
<td>78%</td>
<td>78%</td>
</tr>
<tr>
<td>Place Based Regional Plan 35,000</td>
<td>35,000</td>
<td>36,098</td>
<td>(1,098)</td>
<td>35,000</td>
<td>103%</td>
<td>103%</td>
</tr>
<tr>
<td>Collaboration &amp; Govern Supp 200,000</td>
<td>74,513</td>
<td>274,513</td>
<td>169,975</td>
<td>104,538</td>
<td>274,513</td>
<td>129%</td>
</tr>
<tr>
<td>GIS &amp; Data Integration 75,000</td>
<td>75,000</td>
<td>75,000</td>
<td>75,000</td>
<td>75,000</td>
<td>64%</td>
<td>64%</td>
</tr>
<tr>
<td>Governance &amp; PMO Support 43,000</td>
<td>144,316</td>
<td>187,316</td>
<td>233,069</td>
<td>(45,753)</td>
<td>187,316</td>
<td>124%</td>
</tr>
<tr>
<td>Residential Engagement 2,500</td>
<td>60</td>
<td>2,560</td>
<td>2,000</td>
<td>560</td>
<td>2,560</td>
<td>106%</td>
</tr>
<tr>
<td>Public &amp; Private Sector Engage 200,000</td>
<td>157,540</td>
<td>257,540</td>
<td>250,000</td>
<td>7,540</td>
<td>257,540</td>
<td>103%</td>
</tr>
<tr>
<td>Sasaki Consulting 1,320,000</td>
<td>1,320,000</td>
<td>1,320,000</td>
<td>1,320,000</td>
<td>1,320,000</td>
<td>95%</td>
<td>95%</td>
</tr>
<tr>
<td>Other Consulting 10,331</td>
<td>10,331</td>
<td>10,331</td>
<td>10,331</td>
<td>10,331</td>
<td>0%</td>
<td>0%</td>
</tr>
<tr>
<td>Web-Based Management 200,000</td>
<td>850</td>
<td>200,850</td>
<td>149,561</td>
<td>51,289</td>
<td>200,850</td>
<td>74%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>$2,355,000</td>
<td>230,455</td>
<td>$2,585,455</td>
<td>$2,430,782</td>
<td>(154,673)</td>
<td>$2,585,455</td>
</tr>
<tr>
<td><strong>OTHER DIRECT EXPENSES</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Monthly Meeting/Intra Agency $38,600</td>
<td>$38,600</td>
<td>$52,399</td>
<td>(13,799)</td>
<td>$52,399</td>
<td>42%</td>
<td>42%</td>
</tr>
<tr>
<td>Smartphone/Cellphone Plans 14,400</td>
<td>14,400</td>
<td>15,463</td>
<td>(1,063)</td>
<td>15,463</td>
<td>107%</td>
<td>107%</td>
</tr>
<tr>
<td>Insurance 7,500</td>
<td>7,500</td>
<td>7,500</td>
<td>7,500</td>
<td>7,500</td>
<td>63%</td>
<td>63%</td>
</tr>
<tr>
<td>Miscellaneous 4,500</td>
<td>2,253</td>
<td>6,753</td>
<td>(2,000)</td>
<td>6,753</td>
<td>137%</td>
<td>137%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>$65,000</td>
<td>16,052</td>
<td>$81,052</td>
<td>$94,496</td>
<td>(13,444)</td>
<td>$81,052</td>
</tr>
<tr>
<td><strong>TOTAL HUD BUDGET</strong></td>
<td>$4,250,000</td>
<td>1,465,971</td>
<td>$5,715,971</td>
<td>$5,551,947</td>
<td>(164,024)</td>
<td>$5,715,971</td>
</tr>
</tbody>
</table>

*The Consortium has expended approximately ninety-seven percent of the Sustainable Communities budget.*

**Total Contributions To Date:**

- **Members** 23.50%
- **HUD** 76.50%
**Memo**

To: NEOSCC Board of Directors  
From: Emma Petrie Barcelona  
Date: 1/24/2014  
Re: Open and Pending Contracts and RFPs

---

**Action requested**

None

**Contract Status**

<table>
<thead>
<tr>
<th>Vendor/ Purpose</th>
<th>Expended</th>
<th>Invoices pending</th>
<th>PO Amount</th>
<th>Status</th>
</tr>
</thead>
</table>
| R-Strategy  
Communications, Website and  
Market Research  
2012-09 | $421,589 | $12,750 | $462,490 | Work ongoing, on schedule. Completion date: January 31, 2014 |
| Center for Community Solutions  
Creating maps and basic GIS work  
2012-11 | $15,420 | $9,528 | $24,948 | Work complete- all deliverables received |
| Program Planning Consultant, Scenario  
Planning and Fiscal Impact  
2012-21 | $1,182,400 | $65,650 | $1,300,000 | Under contract, on schedule. Completion date: February 28, 2014 |

<table>
<thead>
<tr>
<th>Vendor/ Purpose</th>
<th>Expended</th>
<th>Invoices pending</th>
<th>PO amount</th>
<th>Status</th>
</tr>
</thead>
</table>
| Imagen Photography  
Board meeting recording  
2012-12 | $11,500 | $1,725 | $11,500 | Approved 5-8-12. Finance committee authorized 4-18-13 to complete recording through the end of 2013. Need additional authorization for 2014 |
| FY13 Audit and Tax Services  
Meaden & Moore  | - | - | $10,000 | New agreement signed, PO to be issued |
| Legal Counsel  
Buckingham, Doolittle & Burroughs | $28,272 | - | $50,000 | Agreement in place- no retainer, monthly invoices based on work performed. Finance Committee increased the funds authorized 4-18-13 |
| Solar Systems  
IT and computer support | - | $1,560 | $10,000 | Hourly agreement in place- will only use hours as needed in order to complete transition |
Open and Pending Purchases

a. Open
b. Pending or planning phases
   i. Will need to increase amount to Imagen for recording 2 board meetings in 2014
   ii. Will need to include amount for Meaden & Moore FY13 audit (to be conducted 2nd week of February 2014).

### Completed agreements

<table>
<thead>
<tr>
<th>Vendor/ Purpose</th>
<th>Expended</th>
<th>Amount unspent</th>
<th>PO amount</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civic Commons Young Professional Events 2012-08</td>
<td>$20,651</td>
<td>$1,349</td>
<td>$22,000</td>
<td>Complete</td>
</tr>
<tr>
<td>Cobalt Group Drafting engagement plan 2012-10</td>
<td>$23,885</td>
<td>-</td>
<td>$23,885</td>
<td>Complete</td>
</tr>
<tr>
<td>Meaden &amp; Moore Audit 2012-04</td>
<td>$7,143</td>
<td>$107</td>
<td>$7,250</td>
<td>complete</td>
</tr>
<tr>
<td>CSU- Kirby Date QCP WS Management 2012-03</td>
<td>$29,900</td>
<td>$100</td>
<td>$30,000</td>
<td>Complete</td>
</tr>
<tr>
<td>Schneider, Smeltz, Ranney &amp; LaFond P.L.L. Non-Profit Filing, temporary legal services 2011-01</td>
<td>$13,210</td>
<td>-</td>
<td>$13,210</td>
<td>All work complete and invoiced.</td>
</tr>
<tr>
<td>Cobalt Group Community Engagement Plan Implementation 2012-13</td>
<td>$126,760</td>
<td>-</td>
<td>$126,760</td>
<td>Work to be concluded in March- additional work will be part of Sasaki contract</td>
</tr>
<tr>
<td>Currere Board Facilitation 2012-07, 2012-17 extension</td>
<td>$167,393</td>
<td>$11,670</td>
<td>$179,063</td>
<td>Work to be completed in January</td>
</tr>
<tr>
<td>Analysis of Impediments to Fair Housing Western Economic Services 2012-14</td>
<td>$163,140</td>
<td>-</td>
<td>$163,140</td>
<td>Complete</td>
</tr>
<tr>
<td>Center for Community Solutions Regional Data Identification and Availability 2011-10</td>
<td>$23,000</td>
<td>-</td>
<td>$23,000</td>
<td>Complete</td>
</tr>
<tr>
<td>FY12 Audit and Tax Services Meaden &amp; Moore</td>
<td>$12,320</td>
<td>-</td>
<td>$12,320</td>
<td>Agreement in place for FY2012</td>
</tr>
<tr>
<td>Cleveland.com network/google.com Internet promotion, advertising through regional online networks</td>
<td>$30,250</td>
<td>-</td>
<td>$67,350</td>
<td>Not-to-exceed amount approved by Finance Committee 4-18-13</td>
</tr>
<tr>
<td>Non-profit future support Strategy Design Partners</td>
<td>$40,000</td>
<td>-</td>
<td>$40,000</td>
<td>Complete</td>
</tr>
</tbody>
</table>
### NEOSSC Consortium Membership & Leveraged Match Tracking - as of January 23, 2014

<table>
<thead>
<tr>
<th>#</th>
<th>Consortium Board Member</th>
<th>Notes</th>
<th>Committed Match per Consortium Agreement</th>
<th>Cumulative Reported Match</th>
<th>Percentage of Reported to Committed Match</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Akron, City of</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$141,530.00</td>
<td>205.1%</td>
</tr>
<tr>
<td>2</td>
<td>Akron Metropolitan Area Transportation Study (AMATS)</td>
<td>received through 3Q13</td>
<td>$127,812</td>
<td>$128,321.28</td>
<td>100.4%</td>
</tr>
<tr>
<td>3</td>
<td>Akron Metropolitan Housing Authority</td>
<td>nothing received</td>
<td>$77,642</td>
<td>-</td>
<td>0.0%</td>
</tr>
<tr>
<td>4</td>
<td>Akron Urban League</td>
<td>received through 4Q12; pending update through 4Q13</td>
<td>$69,000</td>
<td>$8,877.56</td>
<td>12.9%</td>
</tr>
<tr>
<td>5</td>
<td>Ashtabula County</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$38,961.71</td>
<td>56.5%</td>
</tr>
<tr>
<td>6</td>
<td>Catholic Charities, Diocese of Youngstown</td>
<td>received through 4Q13</td>
<td>$30,000</td>
<td>$7,556.86</td>
<td>25.5%</td>
</tr>
<tr>
<td>7</td>
<td>Center for Community Solutions</td>
<td>received through 3Q13</td>
<td>$42,900</td>
<td>$13,606.93</td>
<td>31.7%</td>
</tr>
<tr>
<td>8</td>
<td>Cleveland, City of</td>
<td>received partial through 4Q13</td>
<td>$69,000</td>
<td>$14,923.45</td>
<td>21.6%</td>
</tr>
<tr>
<td>9</td>
<td>Cleveland Metroparks</td>
<td>pending</td>
<td>$69,000</td>
<td>-</td>
<td>0.0%</td>
</tr>
<tr>
<td>10</td>
<td>Cleveland Museum of Natural History</td>
<td>received through 4Q13</td>
<td>$71,022</td>
<td>$42,099.64</td>
<td>59.3%</td>
</tr>
<tr>
<td>11</td>
<td>Cleveland State University</td>
<td>received through 4Q13</td>
<td>$79,188</td>
<td>$119,258.75</td>
<td>150.6%</td>
</tr>
<tr>
<td>12</td>
<td>Cuyahoga County</td>
<td>received partial through 4Q13</td>
<td>$69,000</td>
<td>$46,839.52</td>
<td>67.9%</td>
</tr>
<tr>
<td>13</td>
<td>Cuyahoga Metropolitan Housing Authority</td>
<td>received through 4Q12</td>
<td>$69,000</td>
<td>$7,427.47</td>
<td>10.8%</td>
</tr>
<tr>
<td>14</td>
<td>Eastgate Regional Council of Governments (Eastgate)</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$121,080.64</td>
<td>175.5%</td>
</tr>
<tr>
<td>15</td>
<td>Elyria, City of*</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$35,064.24</td>
<td>50.8%</td>
</tr>
<tr>
<td>16</td>
<td>Fund for Our Economic Future**</td>
<td>received through 2Q12</td>
<td>$850,000</td>
<td>$393,454.50</td>
<td>46.3%</td>
</tr>
<tr>
<td>17</td>
<td>Greater Cleveland Regional Transit Authority</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$61,610.30</td>
<td>89.3%</td>
</tr>
<tr>
<td>18</td>
<td>Lorain County (Lorain County Growth Partnership)</td>
<td>received through 4Q13</td>
<td>$83,897</td>
<td>$28,596.69</td>
<td>34.1%</td>
</tr>
<tr>
<td>19</td>
<td>Lorain County Community College</td>
<td>received through 4Q13</td>
<td>$49,000</td>
<td>$11,573.55</td>
<td>23.6%</td>
</tr>
<tr>
<td>20</td>
<td>Mahoning County</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$24,837.78</td>
<td>36.0%</td>
</tr>
<tr>
<td>21</td>
<td>Northeast Ohio Areawide Coordinating Agency (NOACA) (Fiscal Agent)</td>
<td>received through 4Q13</td>
<td>$179,415</td>
<td>$393,665.21</td>
<td>219.4%</td>
</tr>
<tr>
<td>22</td>
<td>Northeast Ohio Community Development Alliance</td>
<td>nothing received</td>
<td>$28,771</td>
<td>-</td>
<td>0.0%</td>
</tr>
<tr>
<td>23</td>
<td>Northeast Ohio Four County Regional Planning &amp; Development Organization (NEFCO)</td>
<td>received partial through 4Q13</td>
<td>$69,000</td>
<td>$71,416.41</td>
<td>103.5%</td>
</tr>
<tr>
<td>24</td>
<td>Policy Bridge</td>
<td>received through 3Q13</td>
<td>$45,000</td>
<td>$27,995.00</td>
<td>62.2%</td>
</tr>
<tr>
<td>25</td>
<td>Regional Prosperity Initiative</td>
<td>received through 4Q12</td>
<td>$15,000</td>
<td>$89,731.74</td>
<td>598.2%</td>
</tr>
<tr>
<td>26</td>
<td>Stark County</td>
<td>received through 3Q13 (began tracking 2Q12 when SCATS hit $69,000)</td>
<td>$69,000</td>
<td>$69,024.06</td>
<td>100.0%</td>
</tr>
<tr>
<td>27</td>
<td>Stark County Regional Planning Commission/Stark County Area Transportation Study (RBC/SCATS)</td>
<td>received through 2Q12 - completed; see above</td>
<td>$69,000</td>
<td>$69,000.00</td>
<td>100.0%</td>
</tr>
<tr>
<td>28</td>
<td>Stark Metropolitan Housing Authority</td>
<td>received through 2Q13</td>
<td>$69,018</td>
<td>$6,826.58</td>
<td>9.9%</td>
</tr>
<tr>
<td>29</td>
<td>Summit County</td>
<td>received through 4Q13</td>
<td>$97,728</td>
<td>$19,171.22</td>
<td>19.6%</td>
</tr>
<tr>
<td>30</td>
<td>Summit County Health District</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$65,556.72</td>
<td>95.0%</td>
</tr>
<tr>
<td>31</td>
<td>Trumbull County</td>
<td>received through 4Q13 - completed</td>
<td>$38,034</td>
<td>$38,388.31</td>
<td>100.9%</td>
</tr>
<tr>
<td>32</td>
<td>Youngstown, City of</td>
<td>received through 4Q13</td>
<td>$69,000</td>
<td>$54,796.05</td>
<td>79.4%</td>
</tr>
<tr>
<td>33</td>
<td>Youngstown State University</td>
<td>completed match; no further updates needed</td>
<td>$60,000</td>
<td>$60,000.00</td>
<td>100.0%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td>$3,048,427</td>
<td>$2,211,292.13</td>
<td>72.5%</td>
</tr>
</tbody>
</table>

*Includes $10,000 cash match
**Includes $500,000 cash match, of which $213,000 has been released